

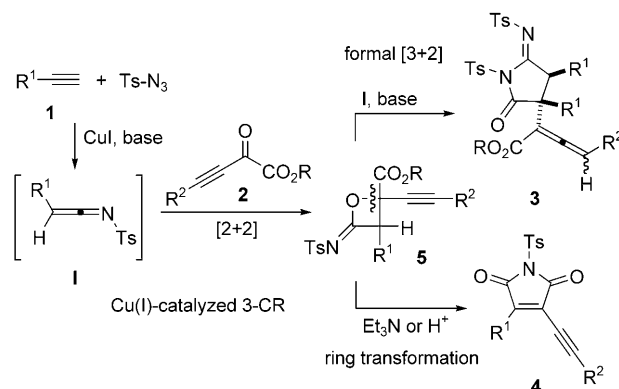
# Three-Component Assembly and Divergent Ring-Expansion Cascades of Functionalized 2-Iminooxetanes\*\*

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In memory of Yaozu Chen

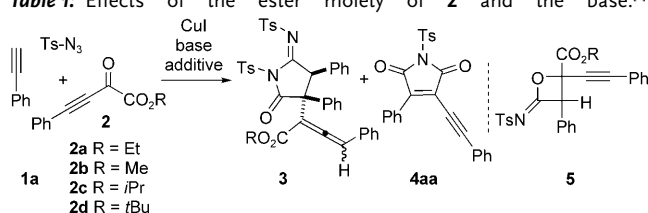
Small-ring heterocycles are of prominent importance because of their potential as bioactive compounds and synthetic building blocks. However, considerably less is known about 2-iminooxetanes, not only with respect to their formation but also in terms of their reactivity profiles. The synthesis of these compounds has previously been limited to those bearing electron-donating groups at the nitrogen atom.<sup>[1]</sup> Furthermore, we were unable to find a report of a ring-expansion reaction of this type of heterocycle, although many ring-enlargement processes of other small-ring systems have been reported to give functionalized molecules efficiently and expeditiously.<sup>[2]</sup> Intrigued by their potential synthetic applications, especially those based on a ring-opening process, we therefore focused our efforts on the construction of electron-deficient 2-iminooxetanes.<sup>[3,4]</sup> Herein, we present a novel copper(I)-catalyzed three-component reaction (3-CR) to produce functionalized *N*-sulfonyl-2-iminooxetanes **5** by a [2+2] cycloaddition of aromatic 2-oxobut-3-ynoates **2** with *N*-sulfonylketenimines **1** generated in situ.<sup>[5,6]</sup> These 2-iminooxetanes **5** are well-established substrates for selective rearrangement to functionalized pyrrolidinones **3** or maleimides **4** through a divergent ring-expansion cascade reaction (Scheme 1).

Initially, we found that, in the presence of triethylamine, CuI catalyzed the multicomponent reaction of phenylacetylene (**1a**), *p*-toluenesulfonyl azide (TsN<sub>3</sub>), and ethyl 2-oxo-4-phenylbut-3-ynoate (**2a**)<sup>[7]</sup> to give the 5-iminopyrrolidinone **3aa** and maleimide **4aa** in 34 and 11% yield, respectively (Table 1, entry 1). However, when **2a** was replaced with methyl ester **2b**, the same product **4aa** was isolated as the major product along with a small amount of **3ab** (Table 1, entry 2). Realizing that both the structure of the ester moiety of **2** and the base used might be playing prominent roles in these processes, we then investigated the reaction of a set of esters **2a–d** under different basic conditions in CH<sub>2</sub>Cl<sub>2</sub> to improve the reaction selectivity. Interestingly, the use of K<sub>2</sub>CO<sub>3</sub> instead of NEt<sub>3</sub> almost completely suppressed the



**Scheme 1.** Assembly and divergent ring-expansion cascades of functionalized *N*-sulfonyl-2-iminooxetanes **5**. Ts = *p*-toluenesulfonyl.

**Table 1:** Effects of the ester moiety of **2** and the base.<sup>[a]</sup>



Entry	Base/additive	1a [equiv]	2	Yield [%] <sup>[b]</sup>	3	4aa
1	Et <sub>3</sub> N	2.5	2a	34 (3aa)	11	
2	Et <sub>3</sub> N	2.5	2b	7 (3ab)	33	
3	K <sub>2</sub> CO <sub>3</sub> /Et <sub>4</sub> Ni	3.0	2a	55 (3aa)	< 5	
4	K <sub>2</sub> CO <sub>3</sub>	3.0	2c	58 (3ac)	< 2	
5	K <sub>2</sub> CO <sub>3</sub> /Et <sub>4</sub> Ni	3.0	2c	73 (3ac)	< 2	
6	K <sub>2</sub> CO <sub>3</sub> /Et <sub>4</sub> Ni	3.0	2d	52 (3ad)	< 2	
7 <sup>[c]</sup>	Cs <sub>2</sub> CO <sub>3</sub>	3.0	2a	< 5 (3aa)	77	
8 <sup>[c]</sup>	Cs <sub>2</sub> CO <sub>3</sub>	1.5	2a	< 2 (3aa)	75	
9 <sup>[c]</sup>	Cs <sub>2</sub> CO <sub>3</sub>	1.5	2c	< 2 (3ac)	61	

[a] Reaction conditions: **1a**/TsN<sub>3</sub> 1:1, **2** (0.3 mmol), CuI (10 mol %), base (1.2 equiv), additive (10 mol %), CH<sub>2</sub>Cl<sub>2</sub> (2 mL), reflux, N<sub>2</sub>. [b] Yield of the isolated product. [c] After the consumption of **2**, trifluoromethanesulfonic acid (TfOH; 3 equiv) was added.

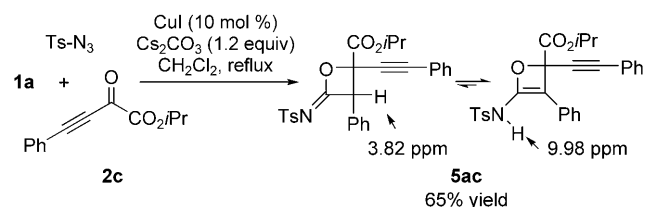
formation of **4aa** to yield products **3** cleanly (Table 1, entries 3–6). The addition of Et<sub>4</sub>Ni (10 mol %) accelerated the formation of **3** and gave the desired product in higher yield (Table 1, entries 4 and 5). However, the isopropyl ester **2c** proved to be the substrate of choice for this transformation in terms of the yield of products. In sharp contrast, reactions with cesium carbonate (Cs<sub>2</sub>CO<sub>3</sub>) as the base did not enable access to **3** but furnished [2+2] cycloadducts **5**, which were

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stable in the reaction mixture and underwent smooth rearrangement to **4aa** upon treatment with TfOH (Table 1, entries 7–9). Although we expected the adduct **5** to be converted slowly into **4aa** on a silica-gel column at room temperature, careful chromatography over SiO<sub>2</sub> at –10 °C enabled the isolation of **5ac** in 65 % yield (Scheme 2).



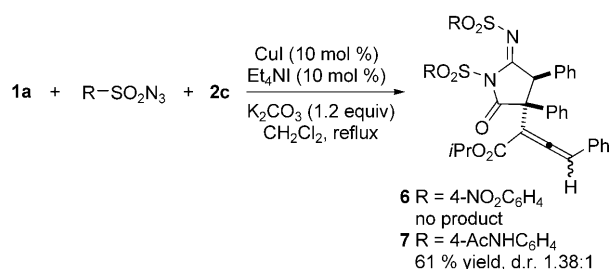
**Scheme 2.** Formation of 2-iminooxetane **5ac**.

<sup>1</sup>H NMR spectroscopy of **5ac** revealed that the imine and enamine tautomers were present in a 1:4 ratio in acetone.<sup>[8]</sup> A possible rationale for the different reaction outcome in the presence of Cs<sub>2</sub>CO<sub>3</sub> is that this base might enhance the coordination ability of the enamine form of **5ac** to give a stable complex with cesium or copper ions and thus inhibit the subsequent ring-opening cascade of **5ac**.<sup>[9]</sup>

Next, we explored the scope of the unique reaction to form 5-imino-2-pyrrolidinones (Table 2). A variety of aromatic alkynes **1** with both electron-donating (Table 2, entries 2, 3, and 5) and electron-withdrawing (Table 2, entries 4 and 6) substituents were suitable substrates for this tandem process in the presence of K<sub>2</sub>CO<sub>3</sub> as the base. Electron-rich aryl alkynes displayed lower reactivity than their electron-deficient counterparts; as a result, an extended reaction time was required for the synthesis of products **3cc** and **3ec** in 31 and 41 % yield, respectively (Table 2, entries 3

and 5). The alkenyl-substituted terminal alkyne **1g** did not undergo this reaction (Table 2, entry 7). Variation of the aromatic moiety of ketoesters **2** was also possible: aryl derivatives with different substitution patterns and a heterocycle-substituted substrate underwent the cycloaddition/ring-expansion cascade efficiently to give the corresponding products (Table 2, entries 8–10). The structure and relative configuration of compound **3aa** was confirmed unambiguously by single-crystal X-ray diffraction analysis, which indicated that the two adjacent R<sup>1</sup> groups were oriented *syn* to one another.<sup>[10]</sup> In all cases, the 5-imino-2-pyrrolidinone products **3**, which feature two contiguous stereogenic centers and a chiral allene unit, were obtained as a mixture of only two diastereomers (d.r. 1.7–1.1:1), as determined by <sup>1</sup>H NMR spectroscopy.

We also examined variation of the aryl sulfonyl azide component for this transformation (Scheme 3). None of the



**Scheme 3.** Variation on the aryl sulfonyl azide component in the synthesis of pyrrolidinones.

desired product was formed when an azide with a very strongly electron withdrawing substituent (nitro group) on the aromatic ring was used. In contrast, 4-acetamidobenzenesulfonyl azide, which contains a moderately electron-donating substituent, underwent the transformation smoothly to give **7** with d.r. 1.38:1 in 61 % yield.

Upon treatment with Cs<sub>2</sub>CO<sub>3</sub> and CuI, a variety of ketoesters **2** reacted readily with tosyl azide and phenylacetylene (**1a**) to furnish the [2 + 2] cycloadducts **5**, which further rearranged to maleimides **4** in one pot in the presence of TfOH (Table 3, entries 1–5). Owing to the instability of intermediates and the product, TsOH (3.0 equiv) was used to deliver **4ag** in 55 % yield (Table 3, entry 5). Variation of the substituent on terminal alkynes **1** was also tolerated (Table 3, entries 6–9). This protocol offers an alternative, conceptually new three-component synthetic route to 3,4-disubstituted maleimides: an important family of natural and synthetic compounds with valuable pharmacological and photophysical properties.<sup>[11]</sup>

We used 2-iminooxetane **5ac** to explore the intermolecular cyclization of the unique small-ring system with an aryl ketene or another *N*-sulfonylketenimine (Scheme 4). Interestingly, Et<sub>3</sub>N catalyzed a similar annulation of **5ac** with phenylmethylketene (**8**) to give a fully substituted succinimide derivative **9** with d.r. 1.5:1 in 67 % yield in the absence of CuI; thus, a copper salt was not necessary for the ring opening and cyclization of **5ac**. 2-Iminooxetane **5ac** also reacted with

**Table 2:** Formation of 5-imino-2-pyrrolidinones **3**.<sup>[a]</sup>

Entry	<b>1</b> , R <sup>1</sup>	<b>2</b>	<i>t</i> [h]	<b>3</b>	Yield [%] <sup>[b]</sup> (d.r.) <sup>[c]</sup>
1	<b>1a</b> , Ph	<b>2c</b>	4	<b>3ac</b>	73 (1.5:1)
2	<b>1b</b> , 4-MeC <sub>6</sub> H <sub>4</sub>	<b>2c</b>	7	<b>3bc</b>	61 (1:1)
3	<b>1c</b> , 4-MeOC <sub>6</sub> H <sub>4</sub>	<b>2c</b>	12	<b>3cc</b>	31 (1.22:1)
4	<b>1d</b> , 4-ClC <sub>6</sub> H <sub>4</sub>	<b>2c</b>	4	<b>3dc</b>	57 (1:1)
5	<b>1e</b> , 3-AcNHC <sub>6</sub> H <sub>4</sub>	<b>2c</b>	12	<b>3ec</b>	41 (2:1)
6	<b>1f</b> , 3-NO <sub>2</sub> C <sub>6</sub> H <sub>4</sub>	<b>2c</b>	8	<b>3fc</b>	48 (1.18:1)
7	<b>1g</b> , 1-cyclohexenyl	<b>2c</b>	24	—	—
8	<b>1a</b> , Ph	<b>2e</b>	7	<b>3ae</b>	59 (1.22:1)
9	<b>1a</b> , Ph	<b>2f</b>	4.5	<b>3af</b>	51 (1.22:1)
10	<b>1a</b> , Ph	<b>2g</b>	5.5	<b>3ag</b>	61 (1:1)

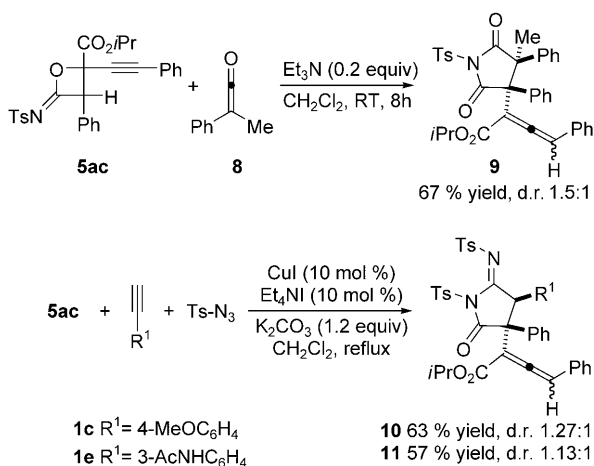
[a] Reaction conditions: **1** (3 equiv), TsN<sub>3</sub> (3 equiv), K<sub>2</sub>CO<sub>3</sub> (1.2 equiv), **2** (0.3 mmol), CuI (10 mol %), Et<sub>4</sub>NI (10 mol %), CH<sub>2</sub>Cl<sub>2</sub> (2 mL), reflux, N<sub>2</sub>.

[b] Yield of the isolated product. [c] The diastereomeric ratio was determined by <sup>1</sup>H NMR spectroscopy.

**Table 3:** Formation and acid-promoted ring expansion of 2-iminooxetanes **5**.<sup>[a]</sup>

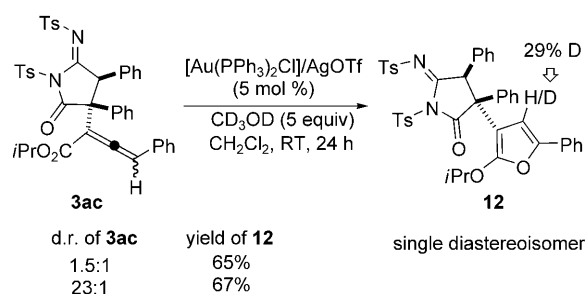
Entry	1, R <sup>1</sup>	2, R <sup>2</sup> , R	t <sup>[b]</sup> [h]	4	Yield <sup>[c]</sup> [%]
1	1a, Ph	2a, Ph, Et	17	4aa	75
2	1a, Ph	2c, Ph, <i>i</i> Pr	12	4ac	61
3	1a, Ph	2e, 4-FC <sub>6</sub> H <sub>4</sub> , <i>i</i> Pr	12	4ae	72
4	1a, Ph	2f, 2-MeO <sub>2</sub> CC <sub>6</sub> H <sub>4</sub> , <i>i</i> Pr	24	4af	41
5 <sup>[d]</sup>	1a, Ph	2g, 2-thienyl, <i>i</i> Pr	21	4ag	55
6	1c, 4-MeOC <sub>6</sub> H <sub>4</sub>	2a, Ph, Et	13	4ca	35
7	1d, 4-ClC <sub>6</sub> H <sub>4</sub>	2a, Ph, Et	20	4da	51
8	1f, 3-NO <sub>2</sub> C <sub>6</sub> H <sub>4</sub>	2a, Ph, Et	12	4fa	52
9	1g, 1-cyclohexenyl	2a, Ph, Et	12	4ga	57

[a] Reaction conditions: **1** (1.5 equiv), TsN<sub>3</sub> (1.5 equiv), Cs<sub>2</sub>CO<sub>3</sub> (1.2 equiv), **2** (0.3 mmol), CuI (10 mol %), CH<sub>2</sub>Cl<sub>2</sub> (2 mL), reflux, N<sub>2</sub>; after the consumption of **2**, TfOH (3.0 equiv) was added. [b] Reaction time for the consumption of **2**. [c] Yield of the isolated product. [d] TsOH (3.0 equiv) was used instead of TfOH.

**Scheme 4.** Cyclization of 2-iminooxetane **5ac**.

*N*-sulfonylketenimines generated in situ from **1c** or **1e** and *p*-toluenesulfonyl azide in the presence of CuI and K<sub>2</sub>CO<sub>3</sub> to give the target products **10** (d.r. 1.27:1) and **11** (d.r. 1.13:1) in 63 and 57% yield, respectively.

The functionalized pyrrolidinone structure **3** provided a very useful handle for further structural manipulation. For example, **3ac** was readily transformed into the structurally complex furan derivative **12** in the presence of methanol by gold-catalyzed rearrangement of the allenolate moiety (Scheme 5).<sup>[12]</sup> Moreover, furan **12** was obtained as a single diastereomer from two mixtures of the two diastereomers of **3ac** with different d.r. values in similar yields. This result showed that the diastereoisomerism of **3ac** was due to the configuration of the allene group, and that the formation of the two carbon stereogenic centers in **3ac** was completely diastereoselective. Notably, the heterocyclic architecture of

**Scheme 5.** Gold-catalyzed rearrangement of allenolate **3ac**.

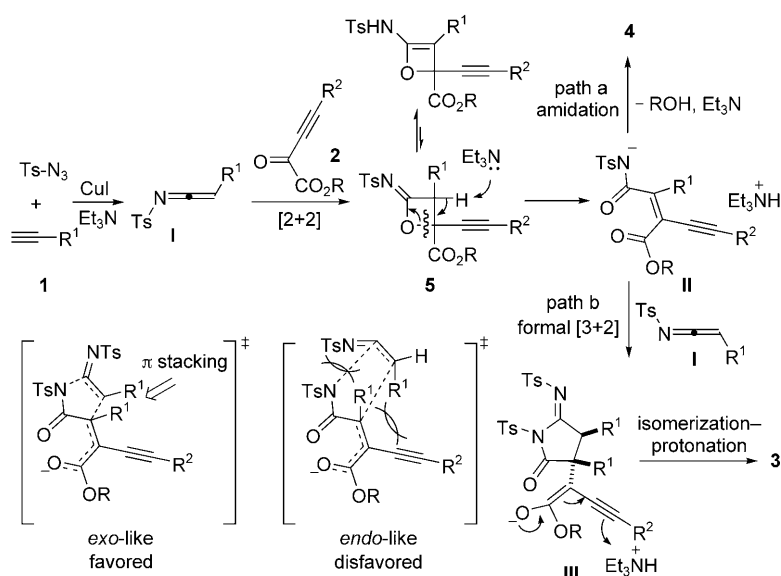
**12**, containing furan and pyrrolidinone units, could be assembled diastereoselectively from three simple acyclic substrates in only two operations.

A postulated mechanism for the present reaction cascade with Et<sub>3</sub>N as the base is depicted in Scheme 6. Initially, the terminal alkyne **1** reacts with the sulfonyl azide upon treatment with CuI and Et<sub>3</sub>N to give a ketenimine intermediate **I**,<sup>[13]</sup> which undergoes a regioselective [2 + 2] cycloaddition with a ketoester **2** to yield a 2-iminooxetane **5**. Upon deprotonation by Et<sub>3</sub>N,<sup>[14]</sup> **5** is converted into a ring-opened intermediate **II**, which undergoes the subsequent cyclization cascades by two pathways. By path a, a maleimide **4** is formed through an intramolecular nucleophilic acylation along with the elimination of an alcohol. This process is sensitive to the ester moiety of **II** (Table 1, entries 1 and 2). On the other hand, when the amidate ion **II** attacks another unit of the ketenimine, a formal [3 + 2] cycloaddition furnishes an enolate **III** in the *trans* configuration (path b). The diastereoselectivity observed is explained by the favorable  $\pi$  stacking of an *exo*-like transition state in contrast to the steric repulsion in an *endo*-like transition state. Finally, the enolate **III** undergoes alkyne–allene isomerization and protonation to give the product **3** (path b).<sup>[15]</sup>

In conclusion, we have developed a novel copper(I)-catalyzed multicomponent reaction of terminal alkynes, sulfonyl azides, and aromatic 2-oxobut-3-ynoates to give functionalized 2-iminooxetanes. Divergent skeleton rearrangements of the 2-iminooxetane intermediates could be controlled well by choosing the appropriate reaction conditions. Thus, functionalized pyrrolidinone and maleimide derivatives with potential biological and synthetic utility could be synthesized highly efficiently. Experiments designed to explore the scope and asymmetric variants of this reaction as well as other synthetic applications of the unique 2-iminooxetanes are ongoing.

## Experimental Section

**3ac:** Phenylacetylene (**1a**, 99  $\mu$ L, 0.9 mmol) was added to a suspension of *p*-toluenesulfonyl azide (177.5 mg, 0.9 mmol), CuI (5.7 mg, 0.03 mmol), K<sub>2</sub>CO<sub>3</sub> (49.7 mg, 0.36 mmol), Et<sub>4</sub>NI (7.7 mg, 0.03 mmol), and isopropyl 2-oxo-4-phenylbut-3-ynoate (**2c**, 64.9 mg, 0.3 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (2 mL) in a Schlenk tube under N<sub>2</sub>. The reaction mixture was stirred at reflux for 4 h and then diluted with CH<sub>2</sub>Cl<sub>2</sub> (20 mL). The organic layer was washed with aqueous NH<sub>4</sub>Cl (5 mL) and brines (5 mL) and then dried over anhydrous MgSO<sub>4</sub>. The solvent was removed under vacuum, and the resulting oil was purified by column



**Scheme 6.** Plausible mechanism for the formation and divergent ring expansion of functionalized 2-iminoxetanes with Et<sub>3</sub>N as the base.

chromatography (hexane/EtOAc 3:1) to give **3ac** (d.r. 1.5:1, 166.2 mg, 73 %) as a white solid (m.p. 190–191 °C). The d.r. value of **3ac** could be raised to 23:1 through a single recrystallization from CH<sub>2</sub>Cl<sub>2</sub>/hexane, as determined by <sup>1</sup>H NMR spectroscopic analysis.

**4aa:** CuI (5.7 mg, 0.03 mmol) and Cs<sub>2</sub>CO<sub>3</sub> (117.3 mg, 0.36 mmol) were added to a solution of *p*-toluenesulfonyl azide (88.7 mg, 0.45 mmol), phenylacetylene (**1a**, 50 μL, 0.45 mmol), and ethyl 2-oxo-4-phenylbut-3-ynoate (**2a**, 60.7 mg, 0.3 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (2 mL) under N<sub>2</sub>. The mixture was stirred at reflux for 17 h and then cooled to 0–5 °C. TfOH (79 μL, 0.9 mmol) was then added, and the resulting mixture was stirred further at reflux for 4 h. The reaction was then quenched with saturated aqueous NaHCO<sub>3</sub> (5 mL), and the reaction mixture was extracted with CH<sub>2</sub>Cl<sub>2</sub> (3 × 10 mL). The combined organic extracts were washed with brine (10 mL), dried over anhydrous MgSO<sub>4</sub>, and then filtered. The filtrate was concentrated under vacuum, and the resulting oil was purified by column chromatography (hexane/CH<sub>2</sub>Cl<sub>2</sub> 1:1) to afford **4aa** (96.2 mg, 75 %) as a yellow solid (m.p. 198–199 °C).

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